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Docket No.: 920010.535 Date: June 5, 2000

In re application of:

Martin A. Cheever and Mary L. Disis

Application No.:

09/088,951

Filed:

June 2, 1998

For:

METHODS AND COMPOSITIONS TO GENERATE IMMUNITY

IN HUMANS AGAINST SELF TUMOR ANTIGENS BY

IMMUNIZATION WITH HOMOLOGOUS FOREIGN PROTEINS

BOX NON-FEE AMENDMENT ASSISTANT COMMISSIONER FOR PATENTS **WASHINGTON DC 20231**

Assistant Commissioner:

Transmitted herewith is a reply and amendment in the above-identified application.

[X] Small entity status of this application under 37 CFR 1.9 and 1.27 has been established by a verified statement previously submitted.

A verified statement to establish small entity status under CFR 1.9 and 1.27 is enclosed.

A Petition for an Extension of Time for month is enclosed.

A General Authorization Under 37 C.F.R. § 1.136(a)(3) is enclosed.

[X] No additional claim fee is required.

[] The fee has been calculated as shown.

| | (Col. 1) | | (Col. 2) | (Col. 3) | |
|--|---|-------|------------------------------|------------------|--|
| | CLAIMS REMAINING AFTER AMENDMENT | | HIGHEST PREV. PAID FOR | PRESENT EXTRA | |
| TOTAL | * 12 | MINUS | ** 30 | 0 | |
| INDEP. | * 1 | MINUS | *** | 0 | |
| [] FIRST PRESENTATION OF MULTIPLE CLAIMS | | | | | |

| SMALL ENTITY | | | |
|--------------|-------------------|---|----|
| RATE | ADDITIONAL FEE | | 0 |
| x 9 | \$ | 0 | |
| x 39 | \$ | 0 | |
| + 130 | \$ | 0 | OF |
| | \$ | 0 | TC |

| | OTHER THAN A SMALL ENTITY | | | | |
|------|------------------------------|-------------------|--|--|--|
| OR | RATE | ADDITIONAL FEE | | | |
| | x 18 | \$ | | | |
| | x 78 | \$ | | | |
| OR | + 260 | \$ | | | |
| TOT/ | L L | \$ | | | |

* If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3.

** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.

*** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space. The "Highest Number Previously Paid For" (Total or Independent) is the highest number found from the equivalent box in Col. 1 of a prior amendment or the number of claims originally filed.

- [] Please charge my Deposit Account No. 19-1090 in the amount of \$_. A duplicate copy of this sheet is enclosed.
- A check in the amount of \$_ is attached.

TOTAL ADDITIONAL FEE

- [X] The Assistant Commissioner is hereby authorized to charge payment of the following additional fees associated with this communication or credit any overpayment to Deposit Account No. 19-1090. A duplicate copy of this sheet is enclosed.
- [X] Any filing fees under 37 CFR 1.16 for the presentation of extra claims.
- [X] Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,

Seed Intellectual Property Law Group PLLC

Richard G. Sharkey, Ph.D. Registration No. 32,629

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PATENT

12/4 (2/4 (-130)

I hereby certify the date specified below, this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, DC 20231.

June 5, 2000

Date

Millicent A Scarlett

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants

Martin A. Cheever and Mary L. Disis

Application No.

09/088,951

Filed

June 2, 1998

For

METHODS AND COMPOSITIONS TO GENERATE

IMMUNITY IN HUMANS AGAINST SELF TUMOR

ANTIGENS BY IMMUNIZATION WITH HOMOLOGOUS

FOREIGN PROTEINS

Examiner

Susan Ungar, Ph.D.

Art Unit

1642

Docket No.

920010.535

Date

June 5, 2000

Box Non Fee Amendment Assistant Commissioner for Patents Washington, DC 20231

REPLY AND AMENDMENT PURSUANT TO 37 C.F.R. §§ 1.111 & 1.121

Assistant Commissioner:

Please amend the application as follows:

In the Specification:

Please add the following new section between the Title and the Technical Field:

-- CROSS REFERENCE TO RELATED APPLICATIONS

This application is a continuation-in-part of Serial No. 08/625,101, filed April 1, 1996, issued as U.S. Patent No. 5,869,445, and also claims benefit to provisional application 60/048,406, filed June 3,1997, now abandoned.

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